

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

GP2776 RECEIVED

Serial No.:

Applicant(s): Smith (et al.)

09/405,608

9/24/1999

Attorney Docket: 0010-011

Examiner:

Unknown

063

GROUP 2700

Filed:

Group Art Unit: 2771

Title: System And Method For Managing Connections Between A Client And A Server

**Assistant Commissioner for Patents** Washington, DC 20231

### TRANSMITTAL FOR INFORMATION DISCLOSURE STATEMENT

Enclosed for filing in the above-referenced application are the following:

- 1. Information Disclosure Statement (2 pages);
- 2. Form PTO-1449 (1 page);
- 3. 4 cited references; and
- 4. Return receipt postcard.

Date: 6/7/00	Lawy E Henneman, 1
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Tracy, CA 95376

### **CERTIFICATE OF MAILING (37 CFR 1.8(A))**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231.

Date: 6/7/00



Filed:

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE/JUN

Applicant(s): Smith (et al.) Serial No.:

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Title: System And Method For Managing Connections Between A Client And A Server

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### INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.56, §1.97, and §1.98, Applicant(s) bring(s) the following documents to the Examiner's attention in the above-referenced application:

- 1. U.S. Patent No. 5,642,482, issued 06/24/1997, to Pardillos;
- 2. U.S. Patent No. 5,754,774, issued 05/19/1998, to Bittinger et al.;
- 3. U.S. Patent No. 5,872,919, issued 02/16/1999, to Wakeland; and
- 4. Luotonen, Web Proxy Servers, Prentice Hall PTR (1998), pp. 17-28, 325-343.

A PTO form 1449 listing these documents is enclosed.

The relevance of the attached references is that this is the closest art of which Applicant is aware.

Citation of the above documents shall not be construed as:

- 1. an admission that the documents are necessarily prior art with respect to the instant invention;
- 2. a representation that a search has been made, other than as described above; or



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an admission that the information cited herein is, or is considered to be, material to 3 2000 patentability as defined in 37 C.F.R. §1.56(b).

GROUP 2700

Respectfully Submitted,

Date: 6/7/00

Larry E. Henneman, Jr., Reg. No. 41,063

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Tracy, CA 95376

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Carry E. Henneman, Jr.